

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
33082M121

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known,
see 37 CFR 1.55)
10/049283INTERNATIONAL APPLICATION NO.
PCT/JP00/05409INTERNATIONAL FILING DATE
August 11, 2000PRIORITY DATE CLAIMED
August 11, 1999TITLE OF INVENTION
FILM FORMING UNIT

APPLICANT(S) FOR DO/EO/US --- Hideaki Yamasaki, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☐ has been transmitted by the International Bureau (see Form 308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2))
 - a. ☐ Amendments to the claims of the International Application under PCT Article 34
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 - b. ☐ A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371(c)(3)).
 - c. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 34
- Items 11. to 16. below concern other document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (w/ copy of PTO-1449 and each reference cited therein and Int'l Search Rept)
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☐ Other items or information:
 - a) PCT Publ. WO 01/12875 with International Search Report (PCT/ISA/210);
 - b) International Preliminary Examination Report (PCT/IPEA/416 and PCT/IPEA/409) in Japanese;
 - c) Form PCT/IPEA/401 in Japanese;
 - d) Letter dated January 17, 2002 with Notification of Change of Address (Form PCT/IB/306);
 - e) Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304);
 - f) Notification of Receipt of Record Copy (Form PCT/IB/301)
 - g) Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)
 - h) Formal Drawings (included with application)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see
37 CFR 1.57) 1149283

17. ☐ The following fees are submitted:

CALCULATION PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$890.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee
paid to USPTO (37 CFR 1.445(a)(2)) \$760.00
Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest
claimed priority date (37 CFR 1.495(e)).

\$ -

Claims	Number Filed	Number Extra	Rate		
Total Claims	32- 20 =	12	x \$18.00	\$216.00	
Independent Claims	1- 3 =	0	x \$84.00	\$.00	
Multiple dependent claim(s) (if applicable)			+ \$280.00	280.00	

TOTAL OF ABOVE CALCULATIONS =

\$1386.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed.
(Note 37 CFR 1.9, 1.27, 1.28).

\$ 0.00

SUBTOTAL =

\$ 1386.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest
claimed priority date (37 CFR 1.492(t)).

\$ -

TOTAL NATIONAL FEE =

\$1386.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an
appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

\$ 40.00

TOTAL FEES ENCLOSED =

\$1426.00

Amount to be
refunded

\$

charged

\$

- a. ☐ A check in the amount of \$1426.00 to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. 02-4300 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required with respect to any deficiency in the above noted "Basic National Fee", or credit any overpayment to Deposit Account No. 02-4300.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed
and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SMITH, GAMBRELL & RUSSELL, LLP
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Washington, DC 20036

Tel: (202) 659-2811
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SIGNATURE

Michael A. Makuch, Reg. No. 32,263
NAME REGISTRATION NO.

Date: February 11, 2002